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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number

09/910,416

Filing Date

07/20/2001

First Named Inventor

Kronenberg

Group Art Unit

2152

Examiner Name

Total Number of Pages in This Submission

7

Attorney Docket Number

0001-0003

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/  
Incomplete Application

☒ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence  
Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication  
to Group

☐ Appeal Communication to Board  
of Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☐ Other Enclosure(s) (please  
identify below):

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Sandy C. Kronenberg

Signature

SFC

Date

1/20/2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Sandy C. Kronenberg

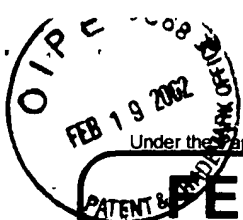
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Date

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**PATENT & TRADEMARK**  
**FREE TRANSMITTAL****for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT**(\$)1063**Complete if Known**

|                      |            |
|----------------------|------------|
| Application Number   | 09/910,416 |
| Filing Date          | 7/20/2001  |
| First Named Inventor | Kronenberg |
| Examiner Name        |            |
| Group Art Unit       | 2152       |
| Attorney Docket No.  | 0001-0003  |

COPY OF PAPERS  
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- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
NumberDeposit  
Account  
Name☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☒ Applicant claims small entity status.  
See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:**

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|-----------------|
|----------|----------|----------|----------|-----------------|

|     |     |     |     |                        |
|-----|-----|-----|-----|------------------------|
| 101 | 740 | 201 | 370 | Utility filing fee     |
| 106 | 330 | 206 | 165 | Design filing fee      |
| 107 | 510 | 207 | 255 | Plant filing fee       |
| 108 | 740 | 208 | 370 | Reissue filing fee     |
| 114 | 160 | 214 | 80  | Provisional filing fee |

**Fee Paid**

370

**SUBTOTAL (1)** (\$)370**2. EXTRA CLAIM FEES**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent Claims | -20** =      | 4              | 168      |
| Multiple Dependent | -3** =       |                |          |

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|-----------------|
|----------|----------|----------|----------|-----------------|

|     |     |     |     |  |
|-----|-----|-----|-----|--|
| 103 | 18  | 203 | 9   | Claims in excess of 20                                     |
| 102 | 84  | 202 | 42  | Independent claims in excess of 3                          |
| 104 | 280 | 204 | 140 | Multiple dependent claim, if not paid                      |
| 109 | 84  | 209 | 42  | ** Reissue independent claims over original patent         |
| 110 | 18  | 210 | 9   | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)**(\$)168

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |  |     |
|-----------------------|-----------------------|-----------------|----------|--|-----|
| 105                   | 130                   | 205             | 65       | Surcharge - late filing fee or oath  | 65  |
| 127                   | 50                    | 227             | 25       | Surcharge - late provisional filing fee or cover sheet                     |     |
| 139                   | 130                   | 139             | 130      | Non-English specification  |     |
| 147                   | 2,520                 | 147             | 2,520    | For filing a request for ex parte reexamination                            |     |
| 112                   | 920*                  | 112             | 920*     | Requesting publication of SIR prior to Examiner action                     |     |
| 113                   | 1,840*                | 113             | 1,840*   | Requesting publication of SIR after Examiner action                        |     |
| 115                   | 110                   | 215             | 55       | Extension for reply within first month                                     |     |
| 116                   | 400                   | 216             | 200      | Extension for reply within second month                                    |     |
| 117                   | 920                   | 217             | 460      | Extension for reply within third month                                     | 460 |
| 118                   | 1,440                 | 218             | 720      | Extension for reply within fourth month                                    |     |
| 128                   | 1,960                 | 228             | 980      | Extension for reply within fifth month                                     |     |
| 119                   | 320                   | 219             | 160      | Notice of Appeal   |     |
| 120                   | 320                   | 220             | 160      | Filing a brief in support of an appeal                                     |     |
| 121                   | 280                   | 221             | 140      | Request for oral hearing   |     |
| 138                   | 1,510                 | 138             | 1,510    | Petition to institute a public use proceeding                              |     |
| 140                   | 110                   | 240             | 55       | Petition to revive - unavoidable   |     |
| 141                   | 1,280                 | 241             | 640      | Petition to revive - unintentional   |     |
| 142                   | 1,280                 | 242             | 640      | Utility issue fee (or reissue)   |     |
| 143                   | 460                   | 243             | 230      | Design issue fee   |     |
| 144                   | 620                   | 244             | 310      | Plant issue fee  |     |
| 122                   | 130                   | 122             | 130      | Petitions to the Commissioner  |     |
| 123                   | 50                    | 123             | 50       | Processing fee under 37 CFR 1.17(q)  |     |
| 126                   | 180                   | 126             | 180      | Submission of Information Disclosure Stmt                                  |     |
| 581                   | 40                    | 581             | 40       | Recording each patent assignment per property (times number of properties) |     |
| 146                   | 740                   | 246             | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |     |
| 149                   | 740                   | 249             | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |     |
| 179                   | 740                   | 279             | 370      | Request for Continued Examination (RCE)                                    |     |
| 169                   | 900                   | 169             | 900      | Request for expedited examination of a design application                  |     |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**(\$)525**SUBMITTED BY**

Name (Print/Type)

Sandy C. Kronenberg

Registration No.  
(Attorney/Agent)

Complete (if applicable)

Telephone

248-647-9800

Signature

S C Kronenberg

Date

1/20/2002

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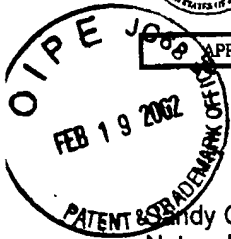
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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/910,416         | 07/20/2001          | Sandy C. Kronenberg   | 0001-0003              |

Sandy C. Kronenberg  
Netarx Inc.  
30910 Telegraph Rd.  
Birmingham Farms, MI 48025

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CONFIRMATION NO. 2644

## FORMALITIES LETTER



\*OC000000008506989\*

Date Mailed: 09/04/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/25/2002 AOSMAN1 00000056 09910416

FILED UNDER 37 CFR 1.53(b)

|           |           |
|-----------|-----------|
| 01 FC:201 | 370.00 OP |
| 02 FC:202 | 168.00 OP |
| 03 FC:205 | 65.00 OP  |

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$160.
  - \$160 for 4 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 580.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/910,416         | 07/20/2001  | 2152         | 0.00          | 0001-0003       | 8        | 15         | 7          |

CONFIRMATION NO. 2644

## FILING RECEIPT



\*OC000000006506988\*

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Date Mailed: 09/04/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Sandy C. Kronenberg, Residence Not Provided;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/219,639 07/21/2000

## Foreign Applications

If Required, Foreign Filing License Granted 08/31/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Method and system for secured transport and storage of data on a network

## Preliminary Class

709

PIPE  
FEB 19 2002  
PATENT & TRADEMARK OFFICE

Sandy C. Kronenberg  
Netarx Inc.  
30910 Telegraph Rd.  
Birmingham, MI 48025